

08/25/99  
JCS94 U.S. PTO

PATENT APPLICATION  
TRANSMITTAL

Atty Docket No.: 10269/11

Total Pages: 40

First Inventor or Application Identifier: Keiser

Express Mail Label No.: EJ593691857US

BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Applicant(s) or Identifier: Timothy M. Keiser et al.  
Serial No. : To be Assigned  
Filed : Concurrently Herewith  
Title : COMPUTER-IMPLEMENTED SECURITIES  
TRADING SYSTEM WITH VIRTUAL  
CURRENCY AND VIRTUAL SPECIALIST

Kindly file the annexed papers indicated below:

- ☒ Application for Patent including Specification (26 pages) and Formal Drawing(s) (8 sheets)  
☒ Declaration and Power of Attorney (3 pages)  
☒ Transmittal letter with a copy of Declaration Claiming Small Entity Status  
☐ Information Disclosure Statement and copies of reference(s)  
☐ Assignment for recordation and Assignment Cover Sheet  
☒ Return Receipt Postcard  
☐ Other:

The fee has been calculated as follows:

	Claims	Claims Paid for	Extra	Rate (Sm. Ent.)	Fee	Rate	Fee
BASIC FILING FEE				\$ 380	\$380	\$ 760	\$
Total	14	- 20 =	0	x \$9	\$	x \$18	\$
Indep.	3	- 3 =	0	x \$39	\$	x \$78	\$
MULTIPLE DEPENDENT CLAIM PRESENT				+ \$130	\$	+ \$260	\$
				TOTAL	\$380		\$


- ☒ A check in the amount of \$380.00 is enclosed.  
☐ The Commissioner is hereby authorized to charge the fee of \$ .00 to the undersigned attorney's Deposit Account No. 02-4270.

The Commissioner is hereby authorized to charge any deficiency in the fee or credit any overpayment to the undersigned attorney's Deposit Account No. 02-4270.

Respectfully submitted,

Dated: August 25, 1999

By:

  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Keiser et al.  
Application No.: To be assigned  
Filed : Concurrently herewith  
For : COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM  
WITH VIRTUAL CURRENCY AND VIRTUAL SPECIALIST

Assistant Commissioner for Patents  
Washington, D.C. 20231


**TRANSMITTAL**

Sir:

We hereby claim small entity status for the referenced application by virtue of it being a continuation-in-part of pending application no. 09/184,571 filed on November 2, 1998 which is a continuation-in-part of pending application no. 08/620,906 filed on March 25, 1996. A copy of the Declaration Claiming Small Entity Status under 37 C.F.R. §1.9(f) & 1.27(b) filed in application no. 08/620,906 is enclosed herewith.

Respectfully submitted,

Dated: August 25, 1999

By:   
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